

**HBOIF ANNUAL ACKNOWLEDGEMENT AND DISCLOSURE FORM
FOR CONFLICTS OF INTEREST POLICY**

Board Member's Name _____ Date: _____

I hereby acknowledge that I have received a copy of Harbor Branch Oceanographic Institute Foundation's (hereinafter "HBOIF") Conflicts of Interest Policy and have read and understand same. I also understand that the Foundation must comply with this policy in order to maintain its tax-exempt status.

I hereby further acknowledge and agree to comply with all provisions of the Foundation's Conflicts of Interest Policy and understand that this policy applies to me and all committees with board-delegated powers.

1. Are you an officer or director of any corporation with which HBOIF conducts business?

YES _____ NO _____

If the answer to the foregoing question is "YES", please list the names of such corporations, the office held, and the "approximate" dollar amount of business involved with HBOIF during the past 12 months.

2. Do you, or does any member of your family, have a financial interest in, or receive any remuneration or income from, any business organization with which HBOIF conducts business?

YES _____ NO _____

If the answer to the foregoing is "YES", please supply the following information:

- A. Names of the business organizations in which such interest is held and the person(s) by whom such interest is held;
- B. Nature and amount of each financial interest, remuneration or income.

3. Did you, or any member of your family receive, in the past twelve months, any gifts or loans from any source with which HBOIF conducts significant business?

YES _____ NO _____

If the answer to the foregoing is "YES", list such gifts or loans as follows:

Name of Source

Item

Approximate Value

4. Are you an employee or volunteer to FAU or any of its direct support organization's other than HBOIF?

YES _____

NO _____

If the answer to the foregoing is "YES", list the employment or volunteer capacity and briefly explain your duties:

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5. The following are other matters not listed in one of the categories above, but which in the interest of avoiding either actual impropriety or the appearance of impropriety, I feel it appropriate to disclose:

Neither I, nor members of my immediate family, have any relationship or engage in any activities of which I am aware, that may place me in a position of having a conflict of interest with HBOIF except as detailed herein. I hereby agree to report promptly to the Board of Directors of HBOIF any other interest situation of which I may become aware, subsequent to the date of the Disclosure Statement.

Printed Name:

Signature:

Date: _____